#9 216/

<u>Patent</u>

FINANCE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Graeme John Proudler ) Re: Information Disclosure ) Statement ) Group: 2161

Filed: August 1, 2001 ) Examiner: not yet assigned

For: "PERFORMANCE OF A SERVICE ) Our Ref: B-4240 618934-9
ON A COMPUTING PLATFORM" )

PUTING PLATFORM" )
) Date: April 26, 2002 RECEIVED

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

Technology Center 2100

Sir:

MAY 0 1 2002

In accordance with the Applicants' duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. We are enclosing herewith a copy of each document listed on the enclosed Form PTO-1449 (modified).

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the Search Report of United Kingdom application no. GB 0104587.1 (1 page). A copy of the Search Report is enclosed herewith.

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The Applicant believes that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. Therefore, no official fees should be due; and this IDS should be considered on the merits. If this IDS is being submitted after the issuance

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of the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, then the Commissioner is authorized to charge Deposit Account No. 12-0415 \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c); and this IDS should be fully considered on the merits, in accordance with 37 C.F.R. § 1.97(d). If this IDS is being submitted after the issuance of a Final Rejection or Notice of Allowance, then the Commissioner is not authorized to charge \$180.00 to Deposit Account No. 12-0415.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.)

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Priority Mail in an envelope addressed to the "Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231",

on April 26, 2002 by Suzanne Johnston.

Respectfully submitted,

Richard P. Berg Attorney for Applicant Reg. No. 28,145

LADAS & PARRY 5670 Wilshire Boulevard Suite 2100 Los Angeles, CA 90036 (323) 934-2300

Enclosures: Form PTO-1449 (modified) (2 pages)
Copy of documents listed on Form PTO-1449 (modified)

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Form PTO-1449 (Modified)	<b>ATTY DOCKET NO.</b> B-4240 618934-9	U.S. SERIAL NO. 09/920,554	
LIST OF PATENTS AND PUBLICATIONS	APPLICANT Graeme John Proudler		
STATEMENT	FILING DATE August 1, 2001	<b>GROUP</b> 2161	

U.S. PATENT DOCUMENTS

	U.S. PAIENT DOCUMEN					
EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB- CLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
	5,361,359	11/94	Tajalli et al.	395	700	
	5,444,850	8/95	Chang	395	200.1	
	5,809,145	9/98	Slik et al.	380	25	
	5,815,665	9/98	Teper et al.	395	200.59	
	5,903,732	5/99	Reed et al.	395	200.59	
	6,023,765	2/00	Kuhn	713	200	
	6,078,948	6/00	Podgorny et al.	709	204	
	6,081,830	6/00	Schindler	709	204	
	6,289,462 B1	9/01	McNabb et al.	713	201	9/28/99
	6,292,900 B1	9/01	Ngo et al.	713	200	11/30/98
	6,330,670 B1	12/01	England et al.	713	2	1/8/99

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
	0 849 680 A2	6/98	EP			
	0 926 605 A1	6/99	EP			
	01/65334	9/01	WO			

#### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Anderson, R. and Markus Kuhn, "Tamper Resistance - a Cautionary Note," *Proceedings of the Second USENIX Workshop on Electronic Commerce*, Oakland CA, pp. 1-11 (November 1996)

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Berger, J.L., et al., "Compartmented Mode Workstation: Prototype Highlights," <i>IEEE Transactions on Software Engineering</i> , Vol. 16, No. 6, pp. 608-618 (June 1990).
Chaum, D., "Security Without Identification: Transaction Systems to Make Big Brother Obsolete," <i>Communications of the ACM</i> , Vol. 28, No. 10, pp. 1030-1044 (October 1985)
"HP Virtualvault - Trusted Web-Server Platform - Product Brief," Hewlett-Packard, 6 pages, located at Internet address <a href="http://www.hp.com/security/products/virtualvault/papers/brief">http://www.hp.com/security/products/virtualvault/papers/brief</a> 4.0>.
"Information technology-Security techniques-Entity Authentication - Part 3: Mechanisms using digital signature techniques," ISO/IEC 9798-3, 6 pages (1998).
"Information technology-Security techniques-Key management - Part 3: Mechanisms using asymmetric techniques," ISO/IEC 11770-3, pp. 1-23 and Annexes A-E (1999).
"Secure Computing with Java: Now and the Future," Sun Microsystems, 29 pages, located at Internet address <a href="http://java.sun.com/marketing/collateral/security.html">http://java.sun.com/marketing/collateral/security.html</a> .
The Trusted Computing Platform Alliance, "Building a Foundation of Trust in the PC,", 9 pages, located at Internet address <a href="www.trustedpc.org/home/home.html">www.trustedpc.org/home/home.html</a> (January 2000).
Trusted Computing Platform Alliance, Main Specification Version 1.0, 284 pages (January 25, 2001).
 Wiseman, S., et al, "The Trusted Path between SMITE and the User," IEEE Proceedings of the Symposium on Security and Privacy, pp. 147- 155 (1988).

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.